I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Attorney Docket No.: 019941-

**Assistant Commissioner for Patents** Washington, D.C. 20231



IN THE UNITED STATES PATENT AND TRADEMARK OFF

In re application of:

Toyohiro Sawada et al.

Application No.: 09/834,414

Filed: April 12, 2001

For: DRUG DELIVERY SYSTEM FOR AVERTING PHARMACOKINETIC DRUG INTERACTION AND METHOD

THEREOF

Examiner:

Konata M. George

Art Unit:

1616

SUPPLEMENTAL INFORMATION **DISCLOSURE STATEMENT UNDER 37** 

CFR §1.97 and §1.98

**Assistant Commissioner for Patents** Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. Also enclosed is a copy of the Search/Examination report corresponding to the PCT application. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

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Toyohiro Sawada et al. Application No.: 09/834,414

Page 2

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

This IDS is being filed after the mailing date of the first Office Action and more than three months after the filing date, but prior to the Notice of Allowance or Final Office Action.

Each of the references, "BC, BD, BE and BF" are in the Japanese language. Each are referenced on the PCT Search Report. Reference BC is an "X" reference. References BD-BF are "Y" references.

Please deduct \$180.00, pursuant to 37 CFR §1.17(p), from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

This Petition is submitted in triplicate.

Respectfully submitted,

Joseph R. Snyder Reg. No. 39,381

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8<sup>th</sup> Floor San Francisco, California 94111-3834

Tel: 925 472-5000 Fax: 925-472-8895

JS:mys

WC 9042609 v1

CTP #1616#

Please type a plus sign (+) inside this box -> +

Approved for use through 10/31/2002. OMB 0651-0031

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TRANS	5 # 1 MP MP A #		Applic	cation Number	09/834,414			
TRANSMITTAL FORM			Filing	Date	April 12, 2001 🗜 📙			
			First I	Named Inventor	Sawada, Toyohii	(. 4 )		
( be used for all correspondence after initial filing)		Group	Art Unit	1616	THE O			
			Exami	ner Name	Konata M. Georg	THE SE		
Total Number of Pages in	This Submission		Attorn	ey Docket Number	019941-0004100			
	<u></u>	ENCL	OSURES	(check all that apply)		3		
Fee Transmittal Form			ment Par Application		After Allowance	e Communication to		
Fee Attached		Drawin	g(s)		Appeal Commu	unication to Board o Iterferences		
Amendment / Respons	se	Licensi	ing-relate	d Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final		and Ac	company	Slip (PTO/SB/69) ring Petition	Proprietary Information			
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Response to Missing F Incomplete Application								
Response to Missi Parts under 37 CF 1.52 or 1.53								
	SIGNAT	URE OF A	APPLICA	ANT, ATTORNEY, O	R AGENT			
and	wnsend and Tow							
Individual name Jos	seph R. Snyder			Reg. No	o. 39,381			
Signature	Joseph A	'.   X2						
Date June 13, 2002								
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Typed or printed name	Marcia Stamm			· · ·				

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FFF TDANCMITTAL	Complete if Known					
FEE TRANSMITTAL	Application Number	09/834,414	OIPE			
for FY 2002 Patent fees are subject to annual revision.	Filing Date	April 12, 2001	JUN 2 5 2012 E			
	First Named Inventor	Sawada, Toyohiro	\0 \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \			
Applicant claims small entity status. See 37 CFR 1.27	Examiner Name	Konata M. George	The set			
	Group Art Unit	1616	TRADEMA			
TOTAL AMOUNT OF PAYMENT (\$) 180	Attorney Docket No.	019941-000410US	·			

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Account Number	20-1430		105	130	205	65	Surcharge - late filing fee or oath	
			127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
Deposit Account	Townsend and Towns	end and	139	130	139	130	Non-English specification	
Name	Crew LLP		147	2,520	147	2,520	For filing a request for reexamination	
The Commission	er is authorized to: (check all	that apply)	112	920*	112	920*	Requesting publication of SIR prior to	
Charge fee(s	indicated below	any overpayments			1		Examiner action	
Charge any additional fee(s) during the pendency of this application			113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
	indicated below, except for th ified deposit account.	e filing fee	115	110	215	55	Extension for reply within first month	
to the above-ident	FEE CALCULATION	ON .	116	400	216	200	Extension for reply within second month	
4 54010 5		<u> </u>	117	920	217	460	Extension for reply within third month	
1. BASIC F Large Entity	ILING FEE Small Entity		118	1,440	218	720	Extension for reply within fourth month	
	<del> </del>	No.	128	1,960	228	980	Extension for reply within fifth month	
Fee Fee Code (\$)	Fee Fee <u>Fee Descript</u> Code (\$)	ion Fee Paid	119	320	219	160	Notice of Appeal	
101 740	201 370 Utility filing fe		120	320	220	160	Filing a brief in support of an appeal	
106 330	206 165 Design filing		121	280	221	140	Request for oral hearing	
107 510	207 255 Plant filing fee	e	138	1,510	138	1,510 .	Petition to institute a public use proceeding	
108 740	208 370 Reissue filing		140	110	240	55	Petition to revive – unavoidable	
114 160	214 80 Provisional fil	ing fee	141	1,280	241	640	Petition to revive – unintentional	
	SUBTOTAL (1)	(\$)	142	1,280	242	640	Utility issue fee (or reissue)	
	SUBTOTAL (1)		143	460	243	230	Design issue fee	$\vdash$
2. EXTRA CLAI	M FEES FOR UTILITY AND	REISSUE	144	620	244	310	Plant issue fee	$\vdash$
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Total Claims	-20** = Extra Claims	below Fee Pald =			l		Petitions related to provisional	<del></del>
Independent	-3** =	x =	123	50	123	50	applications	<u> </u>
Claims Multiple		x = =	126	180	126	180	Submission of Information Disclosure Stmt	180
Dependent Large Entity	Small Entity		581	40	581	40	Recording each patent assignment per property (times number of properties)	
Fee Fee Code (\$)	Fee Code Fee (\$)	e Description	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
103 18 102 84		aims in excess of 20 dependent claims in excess of 3	149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
104 280		Iltiple dependent claim, if not paid	179	740	279	370	Request for Continued Examination (RCE)	
109 84	209 42	Reissue independent claims over iginal patent	169	900	169	900	Request for expedited examination of a	
110 18	210 9 **	Reissue ctaims in excess of 20	Other	na (ana-i	<b>.</b>		design application	
	SUBTOTAL (2)	d over original patent (\$)	Other It	ee (specif	y /		***************************************	
**or number previously paid, if greater; For Reissues, see above			*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)180					

SUBMITTED BY				C	omplete (if applicable	) m	
Name (Print/Type)	Joseph/R. Snyder	Registration No. (Attorney/Agent)	39,381	Telephone	925-472-5000	오	_
Signature	Josep 1	. 2		Date	June 13, 2002	8	٦
	WARNING: Information or	this form may become public. Cred	it card informat	lon should not be	i		0

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